

Lauren B. Henderson

Associate

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Services

Private Equity

Mergers & Acquisitions

Investment Management & Broker-
Dealer

Business & Finance

Securities

Industries

Financial Services

Lauren Henderson focuses her practice on investment management, private equity, business and finance, securities, and mergers and acquisitions.

Lauren worked with the firm in its Corporate, Finance and Real Estate Department as a summer associate in 2015 and 2016 where she drafted assignment contracts, investment advisory agreements, articles of incorporation, bylaws, and warranty provisions.

While attending law school, Lauren served as a Staff Member and Symposium Editor of the *Wake Forest Journal of Business and Intellectual Property Law*.

While attending the University of Kentucky, Lauren was Director of Programs in the University's Center for Community Outreach where she assisted the Executive Director and Board of Directors in planning, organizing, and executing more than 20 events per semester. She was also a research assistant in the University's Department of Psychology Cognitive Laboratory where she conducted experiments in cognitive psychology.

Education

University of Kentucky B.A. (2014) Psychology and Political Science, *summa cum laude*

Wake Forest University School of Law J.D. (2017) *cum laude*, *Order of the Coif*

Professional & Community Activities

Winston-Salem Youth Chorus, Board Member (April 2018-October 2018)

Carolina Cognitive Rehabilitation, Board Member (February 2018-December 2019)

Insights

[Alerts](#)

SEC Sharpens Focus on RIA Compliance Programs, Part 2
February 5, 2021

[Alerts](#)

SEC Sharpens Focus on RIA Compliance Programs, Part 1
January 25, 2021

[Alerts](#)

SEC Adopts Derivatives Overhaul for Funds
November 5, 2020

[Alerts](#)

Under Proposed Exemptive Order, Finders Need Not Register with a Broker-Dealer to Receive Transaction-Based Compensation
October 9, 2020

[Alerts](#)

Fiduciary Rule 2.0: What You Need to Know About the DOL's New Fiduciary Rule
August 6, 2020

[Alerts](#)

The New York AG Estimates Rule Proposals Would Impact up to 14,000 IARs, 5,500 Issuers
July 7, 2020

[Alerts](#)

Recent Enforcement Action Provides Helpful Guidance in Several Areas for Advisers to Private Funds
June 5, 2020

[Alerts](#)

SEC Issues Guidance for Investment Advisory Firms That Accepted PPP Loans
May 12, 2020

[Alerts](#)



An Overview of Key COVID-19 Relief Granted to Registered Mutual Funds by the SEC
May 1, 2020

[Alerts](#)

OCIE Issues Risk Alerts Previewing Initial Exams' Focus on Compliance with Reg BI, Form CRS
April 13, 2020

[Alerts](#)

Iowa Proposes State-Specific Best Interest Rules for Insurance and Securities Businesses
April 9, 2020

[Alerts](#)

SEC Releases FAQ on RIA Revenue Sharing, 12b-1 and Other Compensation Disclosures
October 25, 2019

[Alerts](#)

New Jersey Releases Proposed Fiduciary Rule for Broker-Dealers and Investment Advisers
April 19, 2019

[Alerts](#)

Industry Groups and State and Federal Securities Regulators Grapple with Fiduciary Standards for BDs and Private Fund Advisers
March 25, 2019

[Alerts](#)

Investment Management Regulation: Year in Review
December 21, 2018